

Plan S -info

Jyrki Hakapää

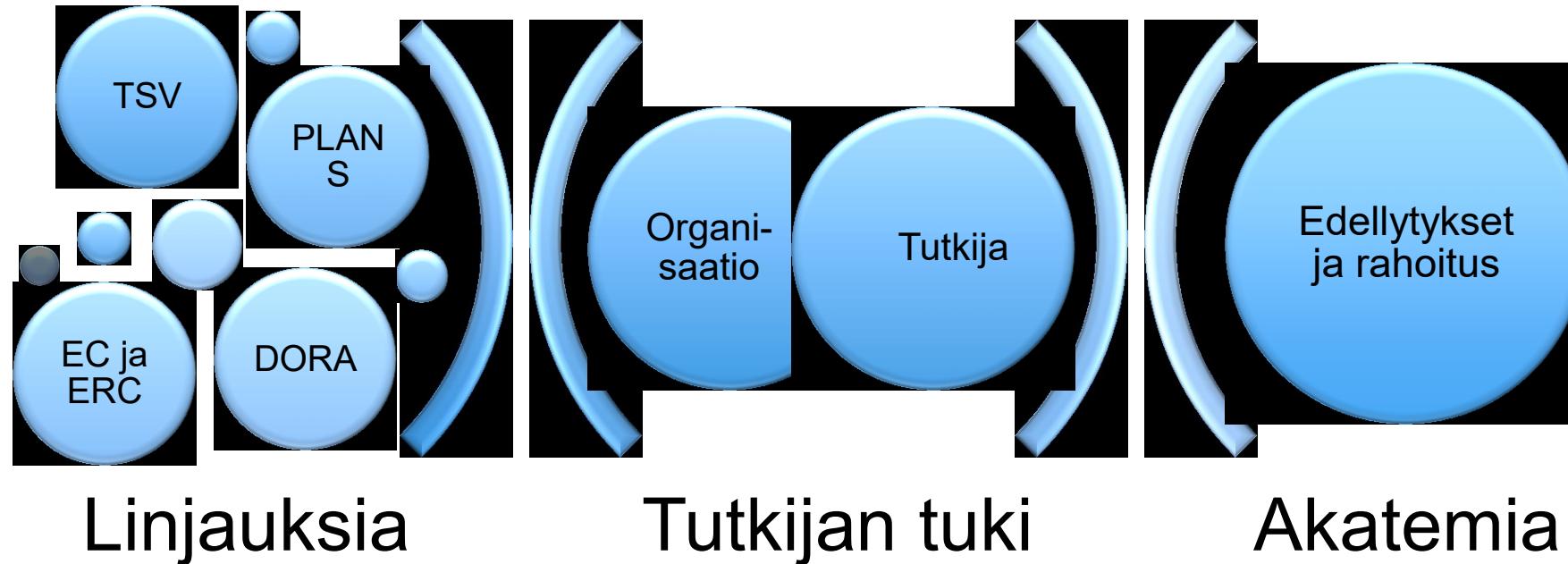
Avoimen tieteen
työpajapäivä

17.3.2020

23.5.2017



Avoimen tieteen ja tutkijan vastuullisen arvioinnin edistämisen lähtökohtia Akatemian suunnittelutyössä



Akatemian muutoksia tutkijoiden ja tutkimusorganisaatoiden osalta

- 1.1.2021 jälkeen avattavissa hauissa
 - Uudistettu tutkijan vastuullinen arvointi
 - Julkaisujen avoimen saatavuuden kustannukset kokonaiskustannusmallin yleiskustannuksissa
 - Julkaisujen avoimen saatavuuden seurannan kehittäminen

Artikkeleita koskeva julkaisujen avoin saatavuus - suunnitelmia

- Akatemia tarkentaa omia vaatimuksiaan 1.1.2021 jälkeen avattavissa hauissa
 - Rahoituspäätökset syksystä 2021 alkaen -> vaikutus 2022 vuodesta eteenpäin
- Plan S hyvin linjassa kansallisen tieteellisten julkaisuiden avoimen saatavuuden julistuksen kanssa (aikataulutus, avoimuuden toteuttamistavat)
- Lisäksi Plan S tukee julkaisemisen kulujen läpinäkyvyyttä, julkaisemistapojen uudistumista ja avoimen tieteen toimintatapojen huomioimista tutkijoiden arvioinnissa.

1.1.2021 alkaen julkaisujen avoimen saatavuuden kuluihin laajempi Akatemian tuki osana tutkimusorganisaation yleiskustannuskerointa

- Rahoitusehdot edellyttäisivät, että suorituspaikat rahoittavat tukemiensa tutkijoiden ja tutkimusryhmien Plan S -mukaiset avoimen saatavuuden kulut.
- Akatemian rahoituspäätösten osalta julkaisujen avoimen saatavuuden kulut olisivat lisättävissä hakemusten budjetteihin ja siten laskutukseen:
 - OA-lehtien APC-kulut
 - Suorituspaikkojen Plan S -kelpoisten rinnakkaistallentamisarkistojen käyttö ja kehittäminen
 - Transformatiivisten kustantajien ja tiedelehtien APC-kulut (2024 asti)
- Kyse on ns. välillisistä kuluista, jotka suorituspaikka ottaisi huomioon määritellessään yleiskustannuskerointaan
- Rahojen käyttö ei tällöin olisi myöskään sidottu juuri tietyn rahoituspäätöksen rahoitusperiodeen, vaan pikemminkin siihen, onko julkaisu tehty Akatemian rahoituksella vai ei.

Julkaisujen avoimen saatavuuden kulujen seurannan kehittäminen

- FinELib:in APC-kulujen seurantapilotti osoittaa, että kuluja pystyy suorituspaikoittain seuraamaan. Tämä auttaa budjettien laadinnassa.
- APC-kuluseurantaprojektin tuloksia
 - Yliopistoilla on jo avoimen saatavuuden kokonaiskuluista tietoa, ja valistunut arvaus maan kokonaiskuluista voidaan antaa (40 miljoonaa euroa / vuosi)
 - Akatemian rahoituksen osuus APC-kulujen lähteenä on merkittävä, TY:n esimerkissä 38 % kaikista yliopiston APC-kuluista (2018)
 - Akatemiasta huolimatta tutkimusorganisaatioiden on otettava huomioon Plan S (esim. ERC- ja EU-rahoitteiset hankkeet)
- APC-kulujen seuranta auttaa myös seuraamaan, miten avoimen saatavuuden kulut muuttuvat ja toteuttavat Plan S:n ja kansallisen avoimen saatavuuden julistuksen tavoitteita.

Open Access and Plan S: 5 key activities 2020

<https://www.coalition-s.org/open-access-and-plan-s-5-key-activities/>

- Growing the cOAlition S membership-base
 - E.g. WHO/TDR and dialogue with USA and China
- Supporting researchers
 - Tool to identify Plan S compatibility, impact of Plan S monitored
- Supporting learned societies
 - identified outlined 27 potential business models society publishers could adopt (UK)
- Engaging with publishers
 - encouraging them to develop publishing options in line with the Plan S principles
- Developing price transparency
 - To determine whether it is feasible for publishers to provide data and whether this information is of use to libraries and funders, the framework will be piloted in the first quarter of 2020. 10 publishers have agreed to join this pilot including Springer Nature, Brill, PLOS the Company of Biologists and EMBO press

Strands of work: 1 Journal checker tool

- Making it easy: *Journal Checker Tool*
 - identify journals that enable compliance with Plan S
- Investigation into data sources
- Invitation to Tender (ITT) launched 7 February
- Support researchers who receive funding from cOAlition S members
- Identify publishing venues that enable compliance with Plan S
- Available for use from November 2020

cOAlition S will work with the Directory of Open Access Journals (DOAJ), the Directory of Open Access Repositories (OpenDOAR), SHERPA/RoMEO, Efficiency and Standards for Article Charges (ESAC), and other potential partners to establish mechanisms for identifying and signalling whether journals/publishing platforms, repositories, and transformative arrangements respectively fulfil the cOAlition S requirements ... cOAlition S will support the development of a tool that researchers can use to identify whether venues fulfil the requirements.





Strands of work 2: Price Transparency

- Obligation to use funds responsibly
- Plan S Guidance specifies: “*cOAlition S, in partnership with publisher representatives and other stakeholders, will define the various services (e.g., triaging, peer review, editorial work, copy editing) publishers will be asked to price.*”
- cOAlition S aims
 - to help make the nature and prices of OA publishing services more transparent,
 - to build confidence amongst stakeholders that prices are fair and reasonable
 - Similar to FOAA (Fair OA Alliance) initiative (<https://www.faiopenaccess.org/>)
 - OA services & prices transparency report & framework

| Price Transparency Framework | | |
|------------------------------|---|--|
| | Data Input Column | Notes |
| 1 | | |
| 2 | | |
| 3 | | |
| 4 | Section 1 - Basic title metadata DOI or ISSN for Title (or Titles if all other data in the framework is the same for each of the titles) | Note this is a title DOI, not an article DOI. Also ok to use the ISSN. |
| 5 | | Choose from physical science & technology, life sciences & medicine, social sciences, or arts & humanities |
| 6 | Journal discipline area | |
| 7 | Owner of journal | Use standard organisational identifier |
| 8 | Publisher of journal | Use standard organisational identifier |
| 9 | APC list price or price range for primary research | Indicate currency; use currency that you set prices in |
| 10 | Subscription list price | Indicate currency; use the currency that you set prices in |
| 11 | Date Information Submitted | dd/mm/yyyy |
| 12 | URL for additional information about price and | Publishers are encouraged, but not required, to provide additional context and detail |
| 13 | Section 2 - Contextual metadata | |
| 14 | Articles published / annum | Number of articles published in last full calendar year (or life of journal if less than 1 year old) |
| 15 | Acceptance rate | Acceptance rate for last calendar year (or life of journal if less than 1 year old) |
| 16 | Frequency of publication | e.g. annual, semi-annual, quarterly, monthly, weekly, continuous publication |
| 17 | Median number of reviews / article | Provide mean for last full calendar year; if an article goes back to the same reviewer for a second review then this counts as two reviews |
| 18 | Median time from submission to first decision | For articles published in the last calendar year; include papers decided on the basis of assessment by in-house prepublication teams, editorial boards, and editorial boards and external referees |
| 19 | Median time from submission to pass peer review and full publication of the article | For articles published in the last calendar year; this figure will include the time taken by authors for their revision stages |
| 20 | Median citations / article | For citations in the last calendar year; for articles published in January of that year; if providing additional information for line 9 please indicate which citation database is being used to generate data (e.g. Web of Science, CrossRef, etc.) |
| 21 | Total Unique Item Requests for the previous | COUNTER 5 statistic |
| 22 | Total Total Item Requests for the previous | COUNTER 5 statistic |
| 23 | Section 3 - Pricing Information | |
| 24 | % of price for journal and community development | e.g. identifying need for the journal, aims and scope development, investment in funding for field, editorial board costs, commissioning content, competitor analysis, benchmarking, policy development, platform development |
| 25 | % of price from submission to desk reject or accept | e.g. triaging [NB this line is separated from the next in order to accommodate the Open Platform publishing model] please do not factor in time or resources dedicated by editors or reviewers; please include specialist reviews; e.g. recruiting and training peer reviewers and the editors who work with them, peer |
| 26 | % of price for peer review management | engagement alignment with pipeline management of the peer review process |
| 27 | % of price for services from acceptance to publication | e.g. platform assignment, assigning an XML schema, databases/re-lettering enhancement, engagement alignment with pipeline management of the peer review process |



Strands of work 3: Collaboration with COAR

Building a sustainable, global
knowledge commons

- COAR: Confederation of OA Repositories
- Joint statement
- Offer wide ranging green option
- Assists author choice
- Keep options open – innovation and changing environment
- Plan S repository technical requirements
 - Mandatory
 - Revised recommended requirements
 - Listen to COAR input



Strands of work 4: Research assessment & evaluation

- Career progression
- Journal choice
- DORA (San Francisco Declaration on Research Assessment) signatories
- Viewed seriously by funders
- Each working towards implementation



Funders commit that when assessing research outputs during funding decisions they will value the intrinsic merit of the work and not consider the publication channel, its impact factor (or other journal metrics), or the publisher
.... *cOAlition S supports the principles of DORA*
...cOAlition S members will implement such principles in their policies by January 2021.



Strands of work 5: Effects of Plans S

- ◉ Concern: if publication choices are reduced, may negatively affect ability to secure future grants and tenure
- ◉ cOAlition S has established a Task Force to measure the effects of Plan S
- ◉ Particular focus:Concerns
 - ◉ reward and recognition
 - ◉ Choice of journal / compliant venues
 - ◉ Not unique to Plan S
 - ◉ DORA-related





Lisätietoja

https://www.aka.fi/avoin_tiede

<https://www.aka.fi/fi/rahoitus/>

<https://www.aka.fi/fi/arvointi-ja-paat>